# RESEARCH

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# The development of adult T cell leukemia/ lymphoma in renal transplant recipients: report of two cases with literature review

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# Abstract

Backgrounds Therefore, reports on the risk of HTLV-1-related diseases in organ transplantation have increased in recent years, and the management of HTLV in renal transplantation remains a challenge.

Patients and methods We retrospectively analyzed four HTLV-1-positive recipients or donors among 89 renal transplantation cases from 2006 to 2021.

**Results** Among the four HTLV-1-positive recipients, two patients developed adult T cell leukemia/lymphoma (ATL) derived from recipients at approximately 3 years (1016 days and 1195 days) after renal transplantation. Case 1 developed lymphoma-type ATL (an extranodal primary cutaneous variant), including skin and pulmonary lesions. The patient achieved CR with FK tapering and CHOP therapy following cord blood stem cell transplantation. However, the patient died 101 days after ATL development because of a severe fungal infection. Case 2 developed acute-type ATL with an unusual phenotype of CD4+8+30+. The patient was treated with FK tapering and palliative therapy because of poor PS. Notably, in case 1, histopathological findings showed high numbers of PD-1-positive TIL cells in ATL, suggesting exhausted T cells and a correlation with the early onset of ATL. Furthermore, in Case 2, histopathological findings revealed CD 30 expression in ATL cells, suggesting the importance of CD 30 in ATL development. Importantly, case 2 showed typical driver mutations, including CCR4 truncation mutations of the C-terminal, TBL1XR1 mutation, and TP53 mutation in the splice site. Notably, our present study and our previous study on renal transplantation strongly indicated that two out of two and one out of 59 "recipient" positive cases developed ATL, respectively. Furthermore, our previous nationwide study 4 out of 10 "donor" positive cases developed HAM. These findings showed that ATL may be derived from HTLV-1+ recipient cells and HAM may be derived from HTLV-1+ donor cells, although the precise mechanism remains unknown.

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**Conclusions** Thus, early onset and rapid progression of ATL with poor outcomes should be considered in HTLV-1 endemic areas. Furthermore, immunological or genetic mechanisms may be related to the development of ATL after renal transplantation. We believe that the mechanism of onset of ATL after transplantation may be important when considering the immune environment of ATL itself.

**Keywords** HTLV-1 carrier recipient, The early onset and rapid progression of ATL with poor outcome after renal transplantation, PD-1-positive TIL cells, CD30 expression in ATL cells, Genetic mutations

# Introduction

Since end-stage kidney disease (ESKD) has increased in recent years, renal transplantation and hemodialysis are increasingly performed [1]. Although the overall survival (OS) of renal transplantation is reported to be approximately 80–90%, the main problems are rejection of transplanted kidneys, severe infection, and post-transplant lymphoproliferative disorder (PTLD) [1, 2].

Abbas reviewed post-transplantation lymphoproliferative disorders: current concepts and future therapeutic approaches [2]. Abbas reviewed two important points: the use of immunosuppressive agents, including tacrolimus (FK), and the role of Epstein–Barr virus (EBV) as the most crucial factor in PTLD [2]. However, in addition to these two factors [2], we have previously demonstrated the impact of human T cell leukemia virus type 1 (HTLV-1) on the development of adult T cell leukemia/lymphoma (ATL) after liver and renal transplantation [3–5].

In 2006, we described the early onset and rapid progression of three cases of ATL (6, 9, and 25 months after liver transplantation) in eight HTLV-I carriers among 164 living-donor liver transplant recipients undergoing immunosuppressive treatment with tacrolimus. These clinical findings strongly suggest that immune escape mechanisms play an important role in ATL pathophysiology. Yoshida et al. [6] reviewed immune escape mechanisms in ATL, especially PD-L1 (CD274) alterations, deletions, and mutations in genes related to major histocompatibility complex (MHC) class I, including HLA-A, HLA-B, and  $\beta$ 2M.

In HTLV-1-negative renal transplant recipients from HTLV-1-positive donors, Yamauchi and Yamano et al. [7] reported that 4 of 10 patients (high incidence) developed HTLV-1-associated myelopathy (HAM) early after renal transplantation. Thus, previous reports on the risk of HTLV-1 infection in organ transplantation have increased, suggesting the importance of HTLV-1 testing before transplantation and clinical care with attention to the development of HTLV-1-related diseases including ATL and HTLV-1-associated myelopathy (HAM) (Tables 1 [3–5, 8–20] and 2 [21–33]). Furthermore, Ohshima et al. [34, 35] reported the importance of the tumor microenvironment of adult T cell leukemia/lym-phoma; in particular, high numbers of programmed cell death-1-positive tumor-infiltrating lymphocytes correlate with the early onset of post-transplant lymphoproliferative disorder.

In 2022, we coincidentally experienced the early onset and rapid progression of two cases of ATL in four HTLV-I carriers of renal transplant recipients undergoing immunosuppressive treatment with tacrolimus. Thus, in this study, we retrospectively describe the clinical significance of HTLV-1-positive recipients/HTLV-1-positive donors for renal transplantation, including the pathological and genetic analysis of two ATL development cases, with a review of the literature.

# **Patients and methods**

We retrospectively analyzed the impact of HTLV-1 infection on 89 renal transplantation cases between January 2006 and December 2021. There were four HTLV-1-positive organ transplants among the 89 renal transplantation cases. Three recipients were HTLV-1 carriers, and one HTLV-1-negative recipient was transplanted from an HLTV-1-positive donor at another hospital. Previously, we reported the impact of HTLV-1 infection on 31 renal transplantation cases between January 2006 and December 2016 [5].

Regarding renal transplantation, 89 cases comprised 80 living donors and nine cadaveric donor renal transplants. The status of HTLV-1 infection in recipients and donors is described below. All donors for renal transplantation tested negative for HTLV-1, and we excluded an HTLV-1-positive donor based on the recommendation of the Japanese Society for Clinical Renal Society and the Ministry of Health, Labour and Welfare in 2014 and the HAM guideline 2019 [36]. Thus, two HTLV-1 carrier donors were excluded as renal transplantation donors during the health checkup. However, renal transplantation from an HTLV-1-positive donor to HTLV-1-negative recipient was performed at another institution. The case (Case 4) was followed up at our institution because the residence of case 4 was in Miyazaki prefecture.

The immunosuppressive protocol to prevent graft rejection in patients after renal transplantation was as follows: induction therapy with basiliximab (20 mg daily at transplant and on postoperative day 4), followed by

				)						
References	Year	ATL	Development of ATL	Age, sex	Transplantation	Recipient HTLV-1	Donor HTLV-1	lmmune suppression	Therapy for ATL	Outcome
HTLV-1-positive recipie.	HTLV-1-positive recipient or/and donor cohort after organ transplantation	after o	rgan transplantation							
Kawano et al. [3]	2006 (8 HTLV-1-positive liver transplantation cohort)	ATL	6 months	39, F	Liver trp	+	+	FK/PSL	Discontinuation of immune suppres- sion agents	15 days dead
Yoshizumi et al. [15]	2016 (82 HTLV-1-positive liver transplantation cohort in Japan)									
Kawano et al. [3] Yoshizumi et al. [15]	2006 2016	ATL	2 years	45, M	Liver trp	+	+	FK/PSL	Chemotherapy LSG 15 ③	3 months dead
Kawano et al. [3] Yoshizumi et al. [15]	2006 2016	ATL	9 months	67, M	Liver trp	+	+	FK/PSL	Chemotherapy CHOP ① and CHOV- VMMV ③	5 months Dead
Yoshizumi et al. [15]	2016	ATL	4 years	48, M	Liver trp	I	+	CSP/PSL	Chemotherapy	15 months dead
Yoshizumi et al. [15]	2016	ATL	1 year	59, F	Liver trp	+	I	FK	n.d	12 months dead
Yamauchi and Yamano et al. [7]	2019 (99 HTLV-1-positive renal transplantation cohort in Japan)	ATL	10.2 years	p.u	Renal trp	+	I	þ.r.	D.u.	Dead
Present case	2022 (4 HTLV-1-positive renal transplantation cohort)	ATL	2 years and 9 months	60, male	Renal trp	+	I	X	CHOP therapy CBSCT	Dead (Mucor infec- tion) (101 days)
Present case	2022	ATL	3 years and 3 months	75, female	Renal trp	+	1	¥	PSL	Dead (5 days)
Glowacka et al. [20]	2013	ATL	2 vears	59. M	Liver trp	I	+	FK/PSL	Chemotherapy	27 months dead
Zanke et al. [8]	1989	ATL	2 years	43, M	Renal trp	p.u	n.d	CSP/PSL	Chemotherapy CVP <b>④</b>	20 months alive
Tsurumi et al. [9]	1992	ATL	4 years	32, M	Renal trp	+	I	CSP/mizoribine	Chemotherapy CHOP <b>⑥</b> , KM2210	13 months alive
Williams et al. [11]	1994	ATL	13 years	42, M	Renal trp	n.d	n.d	Azathioprine/PSL	No treatment	1 day dead
Jenks et al. [10]	1995	ATL	9 months	61, M	Renal trp	+	I	CSP/azathioprine/ PSL	Chemotherapy CHOP <b>①</b>	5 days dead
Mori et al. [12]	2000	ATL	3 years	49, F	Renal trp	p.u	n.d	FK/azathioprine/PSL	Chemotherapy CHOP①	1 month dead
lchikawa et al. [14]	2000	ATL	10 years	42, M	Renal trp	I	n.d	CSP/azathioprine/ PSL	Chemotherapy CHOP + radiation	3 months dead
Glowacka et al. [20]	2013	ATL	2 years	59, M	Liver trp	I	+	FK	PSL	alive

Table 1 Previous reports of ATL development after allo-HSCT and organ transplantation and present our cases

lable I (continued)	a)								
References	Year	ATL Development of ATL	Age, sex	Age, sex Transplantation Recipient Donor HTLV-1 Immune HTLV-1 suppressi	Recipient HTLV-1	Donor HTLV-1	lmmune suppression	Therapy for ATL Outcome	Outcome
Glowacka et al. [20] 2013	2013	ATL 3 years	28, M	Renal trp	I	p.u	FK/MMF	PSL	alive
Tamaki et al. [17]	2006	ATL 2 months	63, F	BMT	+	+	CSP/PSL	Irradiation of 3060 cGy and rapid reduction of immunosuppres- sive agents	32 months live
Nakamizo et al. [28] 2011	2011	ATL 6 years	46, F	BMT	+	+	CSP	Whole brain irradia- tion and localized irradiation	27 months alive
Kitamura et al. [19] 2017	2017	ATL 16 months	52, M	BMT	+	I	FK/PSL	PSL	Several months, PD

Table 2 Previous reports of HAM after organ transplantation and HAM-mimicking myelitis after allo-HSCT

References	Year	НАМ	Recipient after OTP	Recipient Development Age, after OTP of HAM sex	t Age, sex	Transplantation	Recipient HTLV-1	Donor HTLV-1	Donor RecipientHTLV-1 HTLV-1 DNA Neopterin Immune HTLV-1 after organ transplantation	HTLV-1 DNA	Neopterin	lmmune suppression	Donor cells	Therapy Outcome	Outcome
HTLV-1-positive cohort after organ transplantation	: cohort ai	ter organ	transplanta:	tion											
Yamauchi	2019	HAM (4	+	3.8 years (range, n.d	e, n.d	RTP	I	+	+	n.d	n.d	n.d	p.u	n.d	p.u
and Yamano	(99 HTL)	(99 HTLV- cases)		1.3 to 8.4)		RTP	I	+	+						
et al. [/]	1-positive renal	ve				RTP	I	+	+						
	transplan-	÷				RTP	I	+	+						
	tation cohort in Japan)	(													
Case report															
Nagamine et al. [22]	2014	HAM	+	2 months	38, F	RTP	I	+	+	15/1000(PB)	51	FK/MMF	CD4+:54%	IFNα	lmp
Ramanan et al. [23]	2014	HAM	+	5 months	56, M	RTP	I	+	+	p.u	n.d	FK/MMF PSL	n.d	PSL AZT	lmp
Inose et al. [26] 2010	] 2010	HAM	+	10 months	51, M	RTP	I	n.e	+	7.4%	n.d	CY/PSL	n.d	ΙΕΝα	lmp
Kuroki et al. [27]	2007	HAM	n.e	17 months	40, M	RTP	n.e	n.e	n.e	0.83%	p.u	CY/MMF/PSL	n.d	PSL	lmp
Toro et al. [28] Imirizaldu et al [29]	2003 I.	MAH	+	24 months	54, F	RTP	I	+	+	12.44% (164.4/1000)	p.u	CY	n.d	PSL	D
Toro et al. [28] 2003 Imirizaldu et al. [29]	2003 I.	MAH	+	20 months	57, M	RTP	I	+	+	16.44% (124.4/1000)	p.u	CY	p.u	PSL	D
Narukawa et al. 2001 [33]	I. 2001	HAM	+	<1 year	48, M	RTP	n.e	n.e	+	n.d	121	p.u	n.d	PE	lmp
Shintani et al. [30]	2001	HAM	+	7 years	56, M	RTP	n.e	n.e	+	n.d	p.u	p.u	n.d	PSL	n.d
Nakatsuji et al. [24]	. 2010	HAM	+	4 years	50, M	RTP	I	n.e	+	n.d	n.d	CY/MMF/PSL	n.d	p.u	n.d
Kuroda et al. [25]	1992	HAM	+	11 months	32, M	RTP	n.e	+	+	n.d	n.d	CY/MZR PSL.AZA	n.d	PSL	lmp
Soyama et al. [31]	2008	HAM	+	20 months	58, M	Liver TRP	+	+	+	180/1000	p.u	TAC/PSL	n.d	IFNα ribavium	unchange
Toro et al. [28] 2003 Imirizaldu et al. [29]	2003 I.	MAM	+	18 months	44, F	Liver TRP	I	+	+	+	p.n	TAC	n.d	PSL IFNa	SD

References Year	Year	НАМ	Recipient Develop after OTP of HAM	ment		Transplantation	Recipient HTLV-1	Donor HTLV-1	Transplantation Recipient Donor Recipient HTLV-1 HTLV-1 DNA Neopterin Immune HTLV-1 After organ transplantation	HTLV-1 DNA	Neopterin	lmmune suppression	Donor cells	Therapy Outcome	Outcome
Ozden et al. 2001 [32]	2001	+ HAM		5 months	41, M	Heart TRP	I	I	+	n.d	p.n	n.d	h.n	PSL	lmp
Kawamata et 2014 al. [21]	2014	HAM- mim- icking myelitis	I	20 months	63, F	BMT	+	I	I	I	ω	CSP	CD4+CXCR3+CCR4+ mPSL Imp	mPSL	dw
Kawano et al. 2017 [3]	2017	Atypical – HAM		5 months	46, F	BMT	+	I	1	10.8 cop- 95 ies/1000 cells)	95	FK+sMTx→CSP	FK+sMTx→CSP CD4+XCR3+partially mPSL Imp CCR4+	mPSL	dm
Yamauchi and Yamano et al. [7]	2019	HAM (1 – case)		8.3	p.n	RTP	+	1	I	p.n	n.d	p.u	'n	n.d	n.d

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mycophenolate mofetil (MMF; 1000 mg twice daily, tapered to 500 mg twice daily at approximately 3 months post-transplant), tacrolimus (FK), and corticosteroids (250-mg bolus injection intraoperatively, 24 mg daily in the first week, tapered to 4 mg daily by 1-month posttransplant). Rituximab was administered one week before transplantation to patients who received repeat transplants and ABO-incompatible transplants. After renal transplantation, FK immunosuppressants were used to prevent rejection by kidney transplantation. MMF was tapered at approximately 3 months post-transplant and ceased at approximately 1 year post-transplant.

This retrospective study was conducted in compliance with the guidelines for good clinical practice and ethical principles of the Declaration of Helsinki. This study was approved by the appropriate ethics committee and institutional review board of Miyazaki Prefectural Miyazaki Hospital.

# Histopathological analysis and immunohistochemical staining [35]

Evaluation of immunohistochemical staining was performed manually by experienced hematopathologists at Kurume University (H. Miyoshi. and K. Ohshima).

Antibodies used in immunohistochemistry (IHC) included anti-CD20 (L-26; Dako Cytomation, Glostrup, Denmark), anti-CD10 (56C6; Leica Microsystems, Wetzlar, Germany), anti-CD3 (F7.2.38; Dako Japan Inc., Kyoto, Japan), anti-CD30 (Ber-H2; Dako Japan Inc.), anti-FoxP3 (SP97; Abcam, Cambridge, UK), anti-PD-1 (NAT105; Abcam), and anti-PD-L1 (E1L3N; Cell Signaling Technology, Danvers, MA, USA). An Olympus BX51 microscope (Olympus, Tokyo, Japan) was used to quantify positive cells. Neoplastic cells were scored positive when  $\geq$  30% staining was observed. For the quantitative analysis of PD-L1 expression, cells with distinct membranous staining were scored as positive. Neoplastic and microenvironment PD-L1+cells were evaluated separately. To assess immunohistochemically positive TILs,  $\leq 10$  representative high-power fields (HPFs) were randomly selected per specimen. The total number of immunohistochemically positive TILs was determined. The average number of immunohistochemically positive TILs per HPF was calculated for all patients using immunohistochemical staining. The cut-off values for the number of PD-1, CD3, CD8, CD4, FoxP3, and microenvironmental PD-L1-positive TILs were 100, 500, 150, 30, 25, and 10, respectively.

# *Targeted-capture sequencing* [37–39]

Targeted-capture sequencing was performed using the custom SureSelect library (Agilent Technologies) for 235 lymphoma-associated genes in National Cancer Center Page 7 of 17

Research Institute (Y. Kogure). To detect structural variations (SVs) affecting PD-L1, the sequences of coding exons, introns, and 5'- and 3'-UTRs were also included in the library. Sequencing data were generated using the Illumina HiSeq platform with a standard 150-bp pairedend read protocol. Sequence alignment and mutation calling were performed using Genomon pipeline (https:// github.com/Genomonproject). Candidate mutations with (i) EBCall [37] p < 0.0001; (ii) > 5 variant reads in tumor samples; and (iii) a variant allele frequency>0.05 in tumor samples were adopted and further filtered by excluding (a) synonymous SNVs, (b) variants only present in unidirectional reads, and (c) variants occurring in repetitive genomic regions. These candidate mutations were further filtered, unless they were listed  $\geq 10$  times in the COSMIC database version 70, by removing known germline variants (i) observed in NCBI dbSNP build 131 or (ii) observed at a frequency  $\geq$  0.0001 in any of the following datasets: the 1000 Genomes Project (October 2014 release); National Heart, Lung, and Blood Institute Exome Sequencing Project 6500; the Human Genome Variation Database (version 2.00); and the Exome Aggregation Consortium (ExAC) r0.3.1. Finally, all detected mutations were manually checked using Integrative Genomics Viewer (IGV) version 2.8.2.

# Results

## The summary of cases 1-4 (Table 3)

Two of the four HTLV-1 carrier patients developed aggressive-type ATL after renal transplantation. Patient characteristics at the time of ATL diagnosis and treatment outcomes are summarized in Table 3. Our report provides additional information. Given the scarcity of cases, emphasis should be placed on precise patient cohort descriptions. This may add to the knowledge base. Thus, we would like to describe the patient cohort in more detail and how diagnosis and treatment were performed.

Case 1 was a 60-year-old man with ESKD of unknown etiology. Two years and nine months after renal transplantation, the patient developed a lymphoma-type ATL (an extranodal primary cutaneous variant) according to the consensus report by Tsukasaki et al. [40] (Fig. 1). Once aggressive-type ATL develops, conventional chemotherapy is not curative. To suppress the progression of ATL, immunosuppressive drugs were gradually withdrawn and chemotherapy was subsequently administered. Regarding FK trough concentration in PB just prior to develop ATL, the blood levels of FK were 5.5 ng/mL (FK 3.0 mg per day). The withdrawal of FK was performed from 3.0 mg per day into 2.0 mg per day. However, these treatments have unfortunately led to PD. Subsequently, the CHOP therapy was initiated. Fortunately, these treatments led to

Suppressive after renal transplantationSouth mathological analysisFK with drawal malysisChemoFKATL develop- ment (skin, ung)1016 days (2 years and 9 months)Nonoclo- polymorphicPolymorphic fK with drawalFK with drawal ment (skin, and 9 months)CHOP ther- the standardFKATL develop- ment (skin, ung)1016 days (2 years and 9 months)Nonoclo- polymorphicPolymorphic fK with drawalFK with drawal per day- per day- per day-CHOP ther- the PCL/MEL/TBI, fK MMEP)FKATL develop- ment (LNs, ment (LNs,None ment (None NonePolymorphic per day) ment (PC None NoneFK with drawal ment (PC None NoneCHOP ther- per day)FKNo ATL ment (LNs, ment (LNs, ment (LNs-1 cop- ies: 10 copies)NoneNone NoneNoneNone NoneNoneFKNo ATL ment (PC NoneNoneNoneNoneNoneNoFollow-upFKNo ATL ment (PC NoneNoneNoneNoneNoneNoneFollow-upFKNo ATL ment (PC NoneNoneNoneNoneNoneNo			HTLV-1 status	sn:			ATL or HAM	ATL or HAM The day of ATL	FCM	ATL diagnosis	losis	Treatment for ATL	2	Outcome
HTLV.         -         July 2017         FK         ATL develop- ment (skin, (skki, (ski, (skki, (skin, (skin, (skki, (skin, (skin, (skki, (skin,			recipient d	onor	transplantation		development	t development after renal transplantation		Southern blot analysis		FK withdrawal	Chemo therapy	of AIL
-       HTU- 1-positive donor (+)       September 2018 FK 1-positive donor (+)       ATL develop- 1-positive donor (+)       115 days (3 years ment (LNs, BM)       CD3 + 4 + 8 + 30 + 25- phenotype       Monoclo- Phenotype       Polymorphic phenotype       Fk withdrawal phenotype       mPSL per day         -       HTLV- 1-positive donor (+)       April 2020 FK       No       No       None       No       Polov-up         HTLV- tecipient       HTLV- 1-positive donor (+)       HTLV- 1-positive 1-positive       No       No       No       No       No       Follow-up         HTLV- tecipient       HTLV- 1-positive tecipient       HTLV- 1-positive       No       No       No       No       Follow-up         HTLV- tecipient       HTLV- 1-positive       HTLV- 1-positive       No       No       No       No       Follow-up         HTLV- tecipient       No       September 2021 FK       No       No       No       No       Follow-up         HTLV- tecipient       I-positive functione       No       No       No       No       Follow-up	Case 1	60 age, male	HTLV- positive recipient (+)		July 2017	¥	ATL develop- ment (skin, lung)	1016 days (2 years and 9 months)	None	Monoclo- nal band		FK withdrawal (3.0 mg per day → 2.0 mç per day)	CHOP ther- apy CBSCT f (FLU/MEL/TBI FK+MMF)	Dead (Mucor ,infection) (101 days)
- HTLV- April 2020 FK No ATL No None None None No Follow-up 1-positive donor (+) (HTLV-1 cop- ies: 10 copies) HTLV- HTLV- September 2021 FK No ATL No None None None None No Follow-up positive 1-positive donor (+) (HTLV-1 cop- ies: 10 copies) HTLV- HTLV- September 2021 FK No ATL No None None None No Follow-up ies: 10 copies) ies: 10 copies) ies: 10 copies) ies: 10 copies) ies: 10 copies) HTLV- HTLV- September 2021 FK No ATL No None None No Follow-up ies: 10 copies) ies: 10 copies)	Case 2	75 age, female	1	HTLV- 1-positive Jonor (+)	September 2018		ATL develop- ment (LNs, BM)	1195 days (3 years and 3 months)		Monoclo- nal band	Polymorphic pattern in BM	FK withdrawal (2.5 mg per day → 1.5 mg per day)		Dead (5 days)
HTLV- HTLV- September 2021 FK No ATL No None None None No Follow-up positive 1-positive and no HAM recipient donor (+) (HTLV-1 cop- (+) ies;10 copies)	Case 3	65 age, male		HTLV- 1-positive donor (+)	April 2020	РĶ	No ATL and no HAM development (HTLV-1 cop- ies: 10 copies)	Q	None	None	None	°N N		Alive
	Case 4	60 age, female				Ч	No ATL and no HAM development (HTLV-1 cop- ies;10 copies)	Q	None	None	None	°N N	Follow-up	Alive

A 60-year-old female who tested positive for HTLV-1 (Case 4) was transplanted from an HTLV-1-positive donor. To date, the patient has not developed ATL or HAM

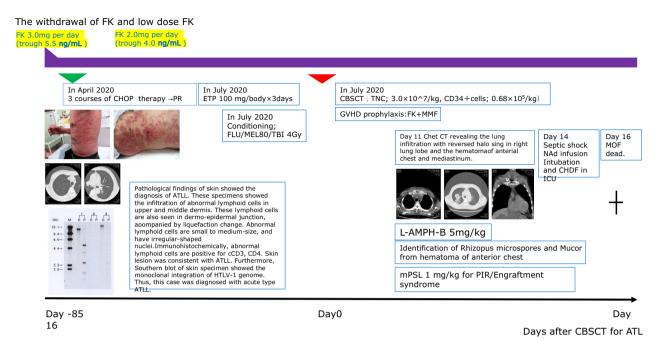


Fig. 1 The Clinical course of case 1. We present the clinical course of an HTLV-1-positive recipient (Case 1) who underwent renal transplantation at our institution (Table 3, Fig. 1). A 65-vear-old male was diagnosed with end-stage kidney disease (ESKD: unknown etiology) in 2012 and subsequently underwent renal transplantation in July 2017. The patient was an HTLV-1 carrier prior to renal transplantation. The patient underwent a living renal transplant from his wife, who had HLA mismatch and blood type incompatibility. After the renal transplantation, immunosuppressive therapy with FK was administered. In April 2020, the skin rash had worsened throughout the body. Skin biopsy revealed ATL, suggesting monoclonal proliferation of ATL cells. Furthermore, chest CT showed glass shadows scattered in both lungs, suggesting the infiltration of ATL cells. Taking these findings together, we made a diagnosis of lymphoma-type ATL, an extranodal primary cutaneous variant, according to a report by Tsukasaki et al. [40]. Thus, case 1 developed ATL 2 years and 9 months after renal transplantation. Immunosuppressants for FK were used to prevent rejection by kidney transplantation. Regarding FK trough concentration in PB just prior to develop ATL, the blood levels of FK in the renal transplant recipient (case 1) were 5.5 ng/mL (FK 3.0 mg per day). FK withdrawal was performed from 3.0 mg per day to 2.0 mg per day. Thus, in April 2020, FK tapering and subsequent CHOP therapy were initiated. After three courses of CHOP therapy, the skin rush/lung lesions showed PR. To date, there has been only one report by Mori et al. [41] on allo-HSCT for the development of Ph1 + ALL after liver transplantation. According to Mori's report [41], we performed cord blood stem cell transplantation (CBSCT) using a conditioning regimen including FLU/MEL/ TBI and graft-versus-host disease (GVHD) prophylaxis with FK plus MMF. On day 11, chest computed tomography (CT) revealed lung infiltration with a reversed halo sign in the right lung lobe and hematoma in the anterior chest and mediastinum. Rhizopus microspores and Mucor Zygomycetes from anterior chest hematomas were identified. On day 14, the patient developed septic shock and acute respiratory and renal failure. Therefore, the patient was treated with intubation and CHDF in the ICU. However, on day 16, the patient died of multiple organ failure (MOF) progression

CR. To date, there has been only one report by Mori et al. [41] on allo-HSCT for the development of Ph1+ALL after liver transplantation. Based on Mori's report [41], we performed cord blood stem cell transplantation (CBSCT) using the conditioning regimen of FLU/MEL/TBI and GVHD prophylaxis of FK and MMF. However, the patient died on day 101 after ATL development because of severe fungal infection.

Case 2 was a 75 year-old woman with ESKD due to nephrosclerosis. Three years and three months after a renal transplantation, the case developed acute-type ATL with abnormal multi-lobulated nuclei "flower-like" lymphocyte cells in PB, which expressed an unusual phenotype of CD4+8+30+. Regarding FK trough concentration in PB just prior to develop ATL, the blood levels of FK were 5.7 ng/mL (FK 2.5 mg per day). The withdrawal of FK was performed from 2.5 mg per day into 1.5 mg per day. The patient was treated with FK tapering and palliative therapy (PSL) because of poor PS (Fig. 2). These treatments led the case result in PD. The patient died 5 days after the diagnosis of ATL.

# Histopathological analysis by immunohistochemical staining (Table 4, Fig. 3)

To elucidate the pathogenesis of ATL after renal transplantation, we performed histopathological analysis by using immunohistochemical staining [35].

In case 1, a high numbers of PD-1-positive TIL cells (average 20.8/HPF) were noted. The microenvironmental PD-L1 expression was also positive (50/HPF). However, Fox P3, HLA class I, HLA class II, and neoplastic PD-L1 were not detected. In case 2, low numbers of

B. Clinical course of ATL development after renal transplantation

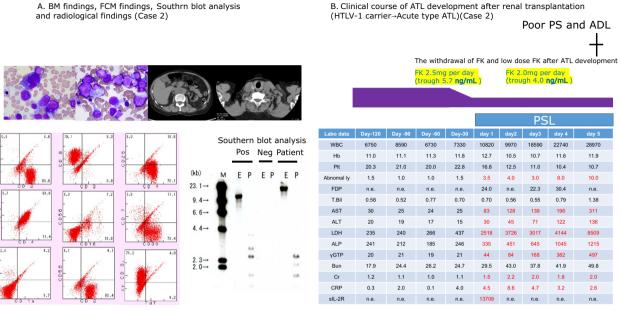


Fig. 2 The clinical course of case 2. We present the clinical course of one HTLV-1-positive recipient (case 2) who underwent renal transplantation from an HTLV-1-negative donor at our hospital (Table 3, Fig. 2A and B). In September 2018, a 75-year-old female case with nephrosclerosis underwent a living kidney transplant from her husband, who had an HLA mismatch and blood type incompatibility. Immunosuppressive therapy to prevent kidney transplantation was administered using FK. Regarding FK trough concentration in PB just prior to develop ATL, the blood levels of FK in the renal transplant recipient (case 2) were 5.7 ng/mL (FK 2.5 mg per day). FK withdrawal was performed from 2.5 mg per day to 1.5 mg per day. In December 2021, the patient showed high levels of LDH and sIL-2R, and systemic lymphadenopathy in the left cervical, mediastinal, and abdominal LNs on CT. Bone marrow examination revealed 25% large abnormal cells. Abnormal lymphocytes in the bone marrow showed an unusual phenotype of CyCD3 + CD3-4 + 8 + 30 + 25- on FCM. Furthermore, Southern blot analysis showed the monoclonal proliferation of ATL cells (Fig. 2A). Thus, the patient was diagnosed with an acute-type ATL. Consequently, the patient developed ATL 3 years and 3 months after the renal transplantation. The patient showed poor PS, ADL, and organ impairment (Fig. 2B). Regarding the treatment for ATL after renal transplantation, the patient was treated with tapering of FK and PSL therapy. However, the patient presented with MOF. Unfortunately, the patient died at day 5 after ATL diagnosis. A BM findings, FCM findings, Southern blot analysis and radiological findings (Case 2). B Clinical course of ATL development after renal transplantation (HTLV-1 carrier  $\rightarrow$  Acute-type ATL) (Case 2)

Table 4 The histopathological features of ATL after renal transplantation (case 1 and case 2)

	Immunohistochemical staining	FoxP3	HLA class I	HLA class II	Neoplastic PD-L1	Microenvironmental PD-L1	PD-1-positive TIL
Case 1	Immunohistochemically, abnor- mal lymphoid cells are positive for cCD3, CD4	0%	0%	0%	0%	Positive (50/HPF)	Average 20.8/HPF
Case 2	Flow cytometric analysis showed CD3+4+8+30+25-phenotype	0%	0%	0%	0%	0/HPF	Average 0.8/HPF

PD-1-positive TIL cells (average 0.8/HPF) were observed. Fox P3, HLA class I, HLA class II, neoplastic PD-L1, and microenvironmental PD-L1 were not expressed. In addition to the use of immunosuppressive treatment, in case 1, high numbers of PD-1-positive TIL may be related to ATL development, consistent with Ohshima's report [34, 35]. In Case 2, in addition to the use of FK, CD30 expression in ATL cells may be related to ATL development (Fig. 2A).

# The genetic analysis

The results of the genetic analysis of cases 1 and 2 are shown in Table 5.

In case 1, we could not detect somatic alterations because of the low tumor cell fraction in the specimen. In Case 2, we found a TP53 mutation at the splice site, a CCR4 mutation truncating its C-terminus, and a TBL1XR1 mutation, which are typical ATL driver mutations [38, 39]. Thus, in case 2, consistent with previous

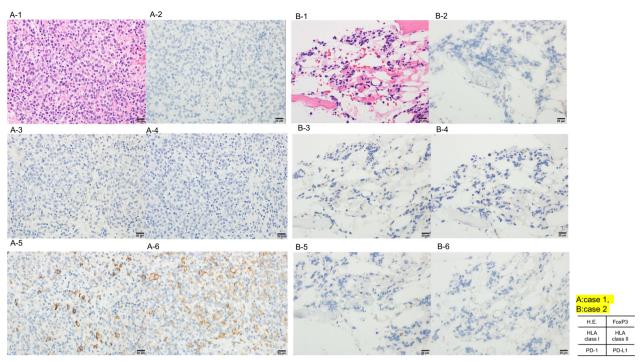


Fig. 3 Histopathological analysis by immunohistochemical staining. To elucidate the pathogenesis of ATL after renal transplantation, we performed histopathological analysis using immunohistochemical staining [35]. In Case 1, a high numbers of PD-1-positive TIL cells (average 20.8/HPF) were noted. Microenvironmental PD-L1 expression was also positive (50/HPF). However, Fox P3, HLA class I, HLA class I, and neoplastic PD-L1 expression was not detected. In Case 2, low numbers of PD-1-positive TIL cells (average 0.8/HPF) were observed. Fox P3, HLA class I, HLA class I, neoplastic PD-L1, and microenvironmental PD-L1 were not expressed

reports [38, 39], typical driver mutations, including CCR4 truncation mutations of the C-terminal, TBL1XR1 mutation, and TP53 mutation in the splice site, may be related to the development of ATL after renal transplantation.

# The comparison between previous our conducted study and present study

Regarding the incidence of ATL development after renal transplantation, Yamano's study of 99 HTLV-1-positive renal transplantation cohorts in Japan (2000-2014 data from the Japanese Renal Transplant Registry) (Table 7) showed that the incidence of ATL development after renal transplantation was approximately 1% (1 out of 99 HTLV-1-positive recipients). Regarding the incidence of ATL development after liver transplantation, Yoshizumi's study of 82 HTLV-1-positive liver transplantation cohorts in Japan (a multi-center study including 13 highvolume centers in Japan) (Table 9) showed that the incidence of ATL development after liver transplantation was approximately 6% (5 out of 89 HTLV-1-positive recipients). Thus, previous nationwide studies have shown that the incidence of ATL after renal/liver transplantation is rare (1-5%). Unfortunately, all six recipient-positivederived ATL cases showed poor outcomes.

Importantly, our present study and our previous nationwide study on renal transplantation strongly indicated that two out of two ``recipient" positive cases and one out of 59 ``recipient" positive cases developed ATL (Tables 6 and 7). Furthermore, in the present study and previous nationwide study on renal transplantation, none of the "donor" positive cases and 4 out of 10 "donor" positive cases developed HAM, respectively (Tables 6 and 7). Furthermore, our present study and previous nationwide study in renal transplantation none of one "donor" positive cases and 4 out of 10 "donor" positive cases developed HAM, respectively (Tables 6 and 7). Furthermore, our present study and previous nationwide study in renal transplantation none of one "donor" positive cases and 4 out of 10 "donor" positive cases developed HAM, respectively (Tables 6 and 7). These findings showed that ATL may be derived from HTLV-I+recipient cells and HAM may be derived from HTLV-1+donor cells, although the precise mechanism remains unknown.

# Discussion

Herein, in our study, we showed the early onset and rapid progression of two cases of ATL (33 months and 39 months after renal transplantation) in four HTLV-I carriers within 89 renal transplant recipients undergoing immunosuppressive treatment with tacrolimus. Furthermore, consistent with previous reports of poor outcomes of ATL after organ transplantation, our two

	Vucleotide change Amino acid change Mutation type
	Fxon
t case 2)	Chromosome
nsplantation (case 1 anc	Accession number
r renal tra	Gene
lysis of ATL after	Ahnormal
Genetic anal	Specimen
Table 5	Case

Case	Specimen	Abnormal lymphocyte	Gene	Accession number	Chromosome	Exon	Exon Nucleotide change Amino acid change Mutation type	Amino acid change	Mutation type	Depth VAF	VAF
Case 1 P	PB	0.5%	Not found								
Case 2	BM	45%	CCR4	NM_005508	c	2	c.C987A	p.C329X	stopgain	381	0.693
			TBL 1XR1	NM_024665	e	14	p.S459N	c.G1376A	nonsynonymous SNV	269	0.465
			TP53	NM_000546	17	Ŋ	c.559+1G>A	1	splicing	256	0.438

Table 6         Four HTLV-1-positive renal transplantation	on cohort by Kawano et al., [3]; present Study (4 HTLV-1-positive renal transplantation
during 2006–2016 data from a single institution or	f Miyazaki prefectural Miyazaki hospital)

	Donor negative, recipient positive, ( <i>N</i> =2)	Donor positive, recipient positive, ( <i>N</i> = 1)	Donor positive, recipient negative, ( <i>N</i> =1)	Overall, (N=4)
ATL development	N=2 (100%) 33 months, 39 months	N=0	N=0	N=2 (50%)
HAM development	N=0	N=0	N=0	N=0

**Table 7** 99 HTLV-1-positive renal transplantation cohort in Japan by Yamauchi and Yamano et al., [7], NEJM (99 HTLV-1-positive renal transplantation during 2000–2014 data from the Japanese Renal Transplant Registry)

	Donor negative, recipient positive, ( <i>N</i> =59)	Donor positive, recipient positive, (N=30)	Donor positive, recipient negative, (N=10)	Overall, (N = 99)	Risk factors by multivariate analysis
ATL development	N=1 (1.6%),10.2 years	N=0	N=0	N=1 (1.0%)	The transplantation from positive donor to negative recipient
HAM development	N=1 (1.6%),8.3 years	N=0	N=4 (40%), 3.8 (1.3–8.4)	N=5 (5%)	

cases also showed poor outcomes due to ATL progression and severe infection. Notably, we first showed that immunological mechanisms or genetic mechanisms may also be related to the development of ATL after renal transplantation under immunosuppressive treatment. Furthermore, our present study and our previous nationwide study on renal transplantation may indicate that ATL is derived from HTLV-1+recipient cells and HAM is derived from HTLV-1+donor cells, although the precise mechanism remains unknown. Thus, the origin of HTLV-1 related disease (ATL or HAM) after renal transplantation may be different.

Abbas F et al. [2] demonstrated the importance of immunosuppressive agents including FK and the role of EBV in the development of PTLD after organ transplantation. In addition to these factors, our present study and our previous nationwide study showed the significance of HTLV-1 infection in the development of PTLD after organ transplantation. However, it is unclear whether the immune and genetic mechanisms are related to ATL development after renal transplantation. Recently, PD-1+TILs were shown to play a major role in the development of PTLD in patients [34, 35]. Furthermore, CD30 expression [42–47] or/and genetic mutations [38, 39, 48] may also play an important role in the development of tumorigenesis in PTLD.

Thus, we focused on the important pathogenesis of (i) the surface and genetic features of ATL cells and (ii) the immune characteristics of CTL cells in ATL development after renal transplantation.

The importance of CD30 for ATL [42–46] and PTLD [47] on the surface increasingly reported and reviewed. Ohshima et al. [42, 43] also reported that CD30 in

healthy tissues plays a role in immune system surveillance and in mediating the cross-talk between B and T cells. In addition, Ohshima et al. [42-46] and other researchers reported that the expression CD30 in HTLV-1-positive cells plays an important role in tumorigenesis. Notably, Nakashima et al. [46] reported that increased CD30 expression may be strongly related to disease progression. Previous studies have also reported that 66% of all PTLD cases are CD30-positive [47]. Thus, these findings also highlight the importance of CD 30 in PTLD development. Consistent with previous reports [42–47], case 2 showed that CD 30 expression in ATL cells may be an important characteristic of ATL development after renal transplantation. Although the precise mechanism is unclear, CD30 expression in ATL cells and PTLD may be important for elucidating the pathogenesis of ATL and PTLD. Based on the pathogenesis of CD30 expression in ATL cells, molecular-targeted therapy may be a therapeutic option, including the anti-CD30 antibody-drug conjugate brentuximab vedotin [46, 49].

Regarding the genetic features of ATL cells in ATL development, in our study of case 2, genetic analysis revealed CCR4, TBL1XR1, and TP 53 mutations (splice site). These findings are consistent with previous reports on the genetic landscape of PTLD (T-and NK-cell PTLD) by Margolskee et al. [48] and ATL by Kogure et al. [38, 39]. In 19 PTLD patients (17 T-PTLD and 2 NK-PTLD cases), Margolskee et al. [48] reported that 377 variants with an average of 20 variants per case, mutations of epigenetic modifier genes (TET2, KMT2C, KMT2D, DNMT3A, ARID1B, ARID2, and KDM6B), and/or inactivation of TP53 (mutation and/or deletion) were the most frequent alterations. Kogure et al. [38, 39] reported that

ATL is characterized by a mutation in the T cell receptor/NF-κB signaling pathway (PLCG1, PRKCB, CARD11, VAV1, CTLA4-CD28, and ICOS-CD28 fusions), molecules associated with immune surveillance (HLA-A/B, CD58, and FAS), and chemokine receptors (CCR4, CCR7, and GPR183). Among the CCR4, TBL1XR1, and TP 53 mutations (splice sites) in case 2, we focused on the TBL1XR1 mutation in ATLL. Although the TBL1XR1 mutation has been reported to be a driver of ATLL [38, 39], the function of the TBL1XR1 mutation in ATL development remains unclear. However, in DLBCL, the TBL1XR1 mutation plays a crucial role in giving rise to extranodal ABC-DLBCLs derived from memory B cells because the TBL1XR1 mutation skews the humoral immune response to produce memory B cells [50]. Furthermore, TBL1XR1 has been reported as an important partner in the translocation of TP63 [51]. Thus, the role of TBL1XR1 mutation may differ between DLBCL and PTCL. In ATLL, considering the normal function and pathogenesis of DLBCL and PTCL, the TBL1XR1 mutation may be speculated to be closely related to an unknown or undiscovered transcription factor in the pathogenesis of ATL development.

Regarding the immune characteristics of CTL cells for ATL, firstly, Ohshima et al. [34, 35] reported the

importance of the tumor microenvironment of ATL; in particular, high numbers of programmed cell death-1-positive tumor-infiltrating lymphocytes correlate with early onset of PTLD. Notably, Ohshima et al. [35] reported the clinicopathological significance of the expression of PD-1, which is associated with exhausted T cells. The number of PD-1+TILs in PTLD specimens was significantly higher in patients who developed PTLD soon after transplantation [35]. In our study, in case 1, a high numbers of PD-1-positive TIL cells (average 20.8/ HPF) may be related to ATL development after renal transplantation. Thus, T cell exhaustion may be associated with PTLD development. However, case 2 was not related to the high number of PD-1+TILs in PTLD specimens. Thus, the underlying immune mechanisms may differ in each case. Based on the pathogenesis of the PD-1+TIL mechanism in ATL cells, molecular-targeted therapy may be a therapeutic option including PD -1 Inhibitor therapy [52], in the future. Thus, immunological mechanisms or genetic mechanisms may also be related to ATL development after renal transplantation under immunosuppressive treatment. These mechanisms may assist in the early onset and rapid progression of ATL after renal transplantation.

	Donor negative, recipient positive, ( <i>N</i> =4)	Donor positive, recipient positive, ( <i>N</i> =4)	Donor positive, recipient negative, ( <i>N</i> =4)	Overall, (N=8)
ATL development	N=1 (25%) 9 months	N=2 (50%) 6 months (recipient- derived ATL) 25 months (recipient- derived ATL)	N=0	N=3 (37%)
HAM development	N=0	N=0	N=0	N=0

 Table 8
 Eight HTLV-1-positive liver transplantation cohort by Kawano et al., [3]; transplantation (8 HTLV-1-positive liver transplantation cohort during 1996 to 2005 data from a single institution of Kyushu University in Fukuoka prefecture)

 Table 9
 Eighty-two HTLV-1-positive liver transplantation cohort in Japan by Yoshizumi et al. [15]; J Hepatobiliary Pancreat Sci (82 HTLV-1-positive liver transplantation cohort during 1996 to 2013 data from multicenter study including 13 high-volume centers in Japan)

	Donor negative, recipient positive, (N=70)	Donor positive, recipient positive, (N=6)	Donor positive, recipient negative, (N=6)	Overall, (N=82)	Risk factors by multivariate analysis
ATL development	N=3 (4%) 432 days, 2107 days, 369 days	N=2 (33%) 195 days (recipient- derived ATL) 1273 days (recipient- derived ATL)	N=0	N=5 (6%)	Fulminant hematic failure (recipient status before transplantation)
HAM development	N=0	N = 0	N=0	N = 0	

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Importantly, we reviewed the previous literatures regarding HTLV-1-related disease including ATL and HAM, after organ transplantation (Tables 1, 2, 6, 7, 8, and 9).

Previous reports on ATL after organ transplantation including renal transplantation are shown in Table 1 [3-5, 8–20]. Notably, the majority of ATL development cases after renal transplantation were derived from "recipient" positive cases (Tables 6 and 7). Furthermore, the majority of HAM development cases after renal transplantation were derived from "donor" positive cases (Tables 6 and 7). These findings may suggest that ATL is derived from HTLV-I+recipient cells and HAM is derived from HTLV-1+donor cells, although the precise mechanism remains unknown. Thus, the origin of HTLV-1-related disease (ATL or HAM) after renal transplantation may be different. Similarly, in liver transplantation, our previous nationwide study strongly indicated that 3 out of 70 "recipient" positive cases developed ATL (HTLV-1-positive recipient-derived ATL) (Table 9). Furthermore, two out of six donor-positive and recipient-positive cases developed ATL derived from HTLV-1-positive recipients.

However, none out of 6 "donor" positive cases developed HAM (Table 9). To date, 16 cases of ATL development after organ transplantation have been reported within 10 years of organ transplantation under immunosuppressive circumstances. Similarly, in an immune-deficient mouse model using a primary ATL specimen, we demonstrated and validated ATL development under immunedeficient conditions [53]. Yoshizumi et al. identified and discussed that fulminant hepatic failure during a cytokine storm in a recipient before transplantation may affect the development of ATL. I believe that the onset of ATL after transplantation is important when considering the immune environment of ATL. If we can investigate the immune environment after onset, including the differences between post-transplant ATL, post-transplant HAM, and normal ATL and HAM, we will be able to understand the pathogenesis of ATL and HAM.

At present, although there is no established prevention and treatment for ATL or HAM after organ transplantation, based on the clinical guidelines for PTLD after organ transplantation and the JSH Practical Guideline for ATL, we diagnosed and treated ATL after HTLV-1-positive

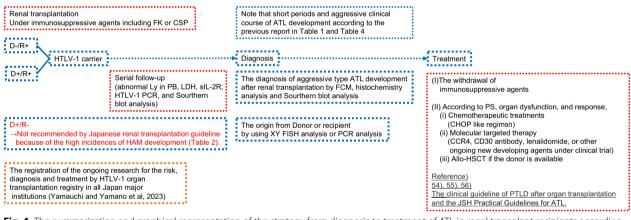


Fig. 4 The summarization and graphical representation of the strategy from diagnosis to treatment of ATL in renal transplant recipients according to the clinical guideline for PTLD after organ transplantation and the JSH Practical Guidelines for ATL. At present, although there is no established prevention and treatment for ATL or HAM after organ transplantation, based on the clinical guideline of PTLD after organ transplantation and the JSH Practical Guidelines for ATL, we performed the diagnosis and treatment of ATL after HTLV-1-positive organ transplantation. Thus, our experiences may be one of the crucial examples to assist ATL development in patients after renal transplantation in the future. The diagnosis of ATL is closely related to blood tests (HTLV-1 clonality by Southern blot analysis), tissue biopsy (immunohistochemical analysis), and radiological imaging (ATL staging). Regarding the treatment, the strategy of treatment is (i) to eliminate the ATL and (ii) to minimize the harm to transplanted organs. According to the clinical guidelines for PTLD after organ transplantation and the JSH Practical Guidelines for ATL, the withdrawal of immunosuppressive agents, including FK or CSP, is an essential treatment approach. Therefore, chemotherapy (a CHOP-like regimen) may be a subsequent therapeutic option. According to the response and organ dysfunction in recipient, the molecular-targeted therapy (CCR4 antibody, CD30 antibody, lenalidomide) or allo-HSCT may be treatment strategy for ATL after organ transplantation. Accumulation of ATL cases after organ transplantation is essential to establish a diagnosis and strategy for ATL after organ transplantation in the future. Regarding the prevention of HTLV-1 infection in endemic areas of HTLV-1 infection, such as our institution in Miyazaki Prefecture, we considered that suggested ways to prevent the development of ATL in renal transplant recipients may be the screening of HTLV-1 antibody. We did not perform organ transplantation from HTLV-1 carrier donors to HTLV-1- negative recipients in order to prevent HTLV-1 infection. In 2014, the Japanese Society for Clinical Renal Society and the Ministry of Health, Labour, and Welfare recommended the exclusion of HTLV-1-positive donors for renal transplantation. From the negative aspects of the view, case 3 (renal transplantation from HTLV-1-positive donor to HTLV-1-negative donor in another hospital and subsequent follow-up in our hospital case) showed the need for enlightenment regarding adherence to the guidelines in Japan. Thus, in endemic areas of HTLV-1 infection, to prevent new HTLV-1 infection, organ transplantation from HTLV-1 carrier donors to HTLV-1-negative recipients should be avoided in clinical practice

organ transplantation. We also showed the summarization and graphical representation of the strategy from diagnosis to treatment of ATL in renal transplant recipients according to the clinical guideline for PTLD after organ transplantation and the JSH Practical Guidelines for ATL (Fig. 4) [54–56]. Thus, our experience may be a crucial example to assist in ATL development after renal transplantation in clinical practice.

In conclusion, in contrast to relatively long periods in the natural course or history of ATL (=50-60 years), the early onset and rapid progression of ATL development with poor outcomes should be kept in mind during follow-up periods in areas endemic for HTLV-1. The HTLV-1 Positive Organ Transplant Registry by Dr. Yamauchi and Dr. Yamano was conducted in a nationwide study. Further follow-up should be essential, and the accumulation of cases should be also desired to elucidate the pathogenesis of ATL after organ transplantation in the future.

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### Author contributions

NK, KY, HM, NY, and KO designed the study. NK collected the clinical data. NK wrote the paper. AT, TM, AD, and ST care for patients treated with renal transplantation. NK, SY, TK, TN, TT, and KY cared for HTLV-1 carriers and patients with ATL. KY, HM, NY, KO, FA, KN, TK, YK, YI, KM, HO, II, YM, KK, JY, YY, KK and KA supervised the study. All authors read and approved the fnal manuscript.

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### Availability of data and materials

Please contact the authors for data requests.

### Declarations

#### Ethics approval and consent to participate

This retrospective study was conducted in compliance with good clinical practice and ethical principles of the Declaration of Helsinki. This study was approved by the ethics committees of Miyazaki Prefectural Miyazaki Hospital (19-2).

#### **Consent for publication**

We received consent from the patients and their families regarding the use of the person's data, including the individual details and images. Furthermore, we received informed consent for publication from the patients and their families.

#### **Competing interests**

The authors declare that they have no competing interests.

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